

Client Qualification Assessment



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CLIENT QUALIFICATION ASSESSMENT

Forex Financial Services (“FFS”) provides each potential client with a qualification survey as part of the online account application process. The survey contains 21 questions, designed to test a potential client’s trading experience as well as their understanding of trading conditions, terms, risks, technology and products.

The assessment process takes up to 2-3 business days and potential clients are advised of the result via email.

Based on the responses provided in the questionnaire, FFS may accept or refuse the account application. FFS reserves the right to refuse any applicant it deems unsuitable for its products.

In order to be accepted, an applicant has to score at least 50 points, by answering the following 5 questions. FFS may refuse any applicant who scores below 50 points in total.

1. How much experience do you have in trading margin Forex, CFD’s or Options?

None = 0 points

Less than 1 year = 25 points

1 to 5 years = 50 points

More than 5 years = 75 points

2. Have you ever traded one of the following products; Commodities, Stocks or Bonds?

Yes = 25 points

No = 0 points

3. Did you trade these as a leverage product?

Yes = 50 points

No = 0 points

4. How much experience do you have with other speculative investments?

Less than 1 year = 0 points

1 to 5 years = 25 points

More than 5 years = 50 points

5. Do you have a plan for monitoring and managing the risks of trading?

Yes = 25 points

No = 0 points

Last Updated: 14 July 2015
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Due for Review: Annually